

Ref No.:- CHO/BSE/Q4

Date: 18th June, 2021

To,

The Manager (Listing),
Corporate Relationship Department,
The BSE Limited,
Phiroze Jeejeebhoy Towers,
Dalal Street, Mumbai-400001

Ref. : Cosco (India)Limited

Code : 530545

Reg : Submission of Annual Secretarial Compliance Report for year ended 31st March,
2021.

Dear Sir,

In compliance of Regulation 24A of SEBI (LODR) Regulations, 2015, Cosco (India) Limited has appointed M/s Akhil Rohatgi & Co. Company Secretaries, as Secretarial Auditor of the Company for the financial year ended on 31st March, 2021. They have carried out the secretarial audit and submitted Annual Secretarial Compliance Report.

We are enclosing the copy of the same for your record .

Thanking you.

Yours sincerely,

For Cosco (India) Limited,



Sudha Singh

Company Secretary and Compliance Officer

Enc (1)





AKHIL ROHATGI & COMPANY

Company Secretaries

21, Shamnath Marg, Civil Lines, Delhi – 110054.

Phone : 011-23926504, 9810690633, 8527087435

Email : rohatgi_co_secy@yahoo.co.in

csdelhi84@gmail.com

SECRETARIAL COMPLIANCE REPORT OF COSCO (INDIA) LIMITED (CIN L25199DL1980PLC010173) FOR THE FINANCIAL YEAR ENDED 31ST MARCH, 2021.

We, **Akhil Rohatgi & Co., Practicing Company Secretaries**, have examined:

- (a) all the documents and records made available to us and explanation provided by **Cosco (India) Limited** (“the listed entity”),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended **31st March, 2021** (“Review Period”) in respect of compliance with the provisions of :

- (a) the Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”);

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; Not applicable as the Company had not issued securities during the financial year under review.
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; Not applicable as the Company did not buy back its securities.
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; Not applicable as the Company had not issued Employee Stock Option Scheme for its employee.



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- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; Not applicable as the Company had not issued debt securities.
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; Not applicable as the Company had not issued Preference Shares.
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (i) Securities and Exchange Board of India (Depositories & Participants) Regulation, 1996 and 2018;
- (j) Security and Exchange Board of India (Delisting of Equity Shares) Regulations, 2009; Not applicable as the Company had not delisted its Equity shares.
- (k) Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993.

and Circular / guidelines issued there under;

and based on the above examination, we hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:

| Sr. No | Compliance Requirement (Regulations/Circulars/Guidelines including specific clause) | Deviations | Observations/Remarks of the practicing Company secretary |
|----------------|---|------------|--|
| Not Applicable | | | |

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from our examination of those records.

- (c) There are no action taken against the listed entity/ its promoters/ directors either by SEBI or by Stock Exchanges (*including under the Standard Operating Procedures issued by SEBI through various circulars*) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

| Sr. No | Action taken by | Details of violation | Details of Action taken e.g. fines warning letter debarment | Observations/Remarks of the practicing Company secretary |
|----------------|-----------------|----------------------|---|--|
| Not Applicable | | | | |





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(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

| Sr. No | Observations of the Practicing Company Secretary in the previous reports. | Observations made in the Secretarial Compliance Report for the year ended 31.03.2019 | Actions taken by the listed entity, if any | Comments of the practicing Company Secretary on the actions taken by the listed entity |
|----------------|---|--|--|--|
| Not Applicable | | | | |

Date: 18.06.2021

Place: Delhi

For Akhil Rohatgi & Co
Company Secretaries




Akhil Rohatgi
Partner

FCS No: 1600

CP No: 2317

UDIN: F001600C000481079